



#4

Patent  
Attorney's Docket No. 009683-363

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Koichi SHIBATA

726,423

Application No.: 09/726,424

Filed: December 1, 2000

For: PRINTING METHOD AND  
APPARATUS HAVING IMPROVED  
JOB SECURITY FUNCTION, AND  
COMPUTER PROGRAM PRODUCT  
EXECUTABLE BY COMPUTER FOR  
REALIZING IMPROVED JOB  
SECURITY FUNCTION

Group Art Unit: 2176

Examiner: Unassigned

Confirmation No.: 1425

RECEIVED

OCT 02 2002

Technology Center 2100

SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

RECEIVED

OCT 03 2002

TECHNOLOGY CENTER 3700

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the  
above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth  
in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.  
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment,  
Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER &amp; MATHIS, L.L.P.

By:

James A. LaBarre  
Registration No. 28,632P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: October / , 2002

TECHNOLOGY CENTER 3700

OCT 17 2002

RECEIVED



Patent  
Attorney's Docket No. 009683-363

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
)  
Koichi SHIBATA ) Group Art Unit: 2176  
)  
Application No.: 09/726,423 ) Examiner: Unassigned  
)  
Filed: December 1, 2000 ) Confirmation No.: 1425  
)  
For: PRINTING METHOD AND )  
APPARATUS HAVING IMPROVED )  
JOB SECURITY FUNCTION, AND )  
COMPUTER PROGRAM PRODUCT )  
EXECUTABLE BY COMPUTER FOR )  
REALIZING IMPROVED JOB )  
SECURITY FUNCTION )

**RECEIVED**

**OCT 02 2002**

**Technology Center 2100**

**SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RECEIVED**

**OCT 03 2002**

**TECHNOLOGY CENTER R3700**

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

**U.S. Patent Documents**

<b>Patent No.</b>	<b>Publication Date</b>
5,638,511	June 10, 1997

**Foreign Patent Documents**

<b>Publication No.</b>	<b>Country</b>	<b>Publication Date</b>
0798691	Japan	April 11, 1995
11170627	Japan	June 19, 1999
11212744	Japan	August 6, 1999

**RECEIVED**  
**OCT 17 2002**  
**TECHNOLOGY CENTER 3700**


These documents were cited in a "Notice of Ground of Rejection" (Office Action) issued in the corresponding Japanese priority application. For the Examiner's reference, a copy of the

Notice and translation thereof are also being submitted. U.S. Patent No. 5,638,511 is the counterpart to Japanese Laid-Open application 7-98691 cited in the Notice as Reference 3.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:   
James A. LaBarre  
Registration No. 28,632

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(703) 836-6620

Date: October , 2002